

REMARKS

This paper is responsive to the Office action mailed on January 12, 2007. Favorable reconsideration is requested.

Claims 1-62 are in the application. Claims 1, 3-8, 19, 26-28, 33, 39, 50, 51, and 62 have been amended in this response. No new matter has been added.

**First Rejection of Office Action**

Claims 27 and 62 are rejected under 35 U.S.C §112, first paragraph, as failing to comply with the written description requirement. This rejection is respectfully traversed.

The examiner has stated that *"In claim 27 and 62, the amended 'hardware processor accelerating a protocol processing stack' is not disclosed on page 25 of the specification, as pointed out in the response by the applicant"*.

Applicant's response referred to in the Examiner's statement above does not refer to page 25 of the specification as the examiner asserts, as explained below.

Applicant's response of October 19, 2006 stated on page 20 paragraph 5 that: *"In addition, in discussing the amendment to Claim 27 in this response, the Examiner raised a question about where the term 'accelerating' appears in the specification as filed. Applicant's position on this is set forth on **page 25 BELOW**"*. It appears that the Examiner may have mistakenly read this line to mean that the amended claim matter appears on page 25 of the specification whereas applicant's response just pointed out the page number **of the response** (stated as "page 25 below") where the discussion of the amended claim appeared in the response to clearly communicate its description in the specification to reasonably convey to one skilled in the relevant art that the inventor, at the time the application was filed, had possession of the claimed invention. The section of the previous response filed on October 19, 2006, which clearly pointed out the sections of the specification where the amended claim matter appears is quoted from Oct. 19, 2006 response below. However, these claims have been further amended in this response as discussed below the quote from the previous response.

The quote from the referred-to page 25 of the Oct. 19, 2006 response that showed the amended claim matter in specification in clear and concise terms to reasonably convey that applicant had the possession of the claimed invention at the time of the filing of the application follows:

**Begin Quote from Page 25 of the October 19, 2006 Response:**

*"Claim 27 has been amended to substitute 'accelerating' for 'providing'. That is, the hardware processor of Applicant's invention accelerates the protocol processing stack as indicated in the first two paragraphs of Applicant's 'Summary of the Invention.' To the extent that Williams teaches a protocol processing stack, Williams does not teach accelerating the protocol processing stack. Indeed, the word 'accelerating' does not even appear in Williams, because Williams does not recognize the benefits of accelerating a protocol processing stack.*

*In this regard, the term 'accelerate' and its various other forms such as 'accelerator', 'acceleration', and 'accelerated' appear at a number of places in this application as originally filed, and these terms are used in precisely the way 'accelerating' is used in claim 27 as amended.*

*For example, page 2, line 5 states that 'new hardware solutions would accelerate the TCP/IP stack to carry storage and network data traffic...' Applicant's invention is one of those solutions that would 'accelerate' the TCP/IP stack.*

*Page 16, line 30 to page 17, line 5 also indicates that the architecture described in this patent can be used for high performance TCP/IP and also that the TCP/IP engine can be augmented with application specific packet accelerators to leverage the architecture, and gives examples as to how this might be done.*

*Likewise, page 17, lines 19-20 states that 'New hardware solutions will accelerate the TCP/IP stack to carry storage and network traffic and be competitive to FC based solutions.' Thus, again, acceleration is taught in the instant application as originally filed.*

*Finally, teaching of acceleration in accordance with this application is seen at page 18, lines 24-25: 'The storage protocol, like iSCSI, can be built in software running on the host processor or may, as described in this patent, be accelerated using hardware.'*

*Accordingly, Williams does not explicitly teach, disclose, or illustrate each and every element of claim 27. Specifically, Williams does not teach accelerating a protocol processing stack. Further, if each element of claim 27, specifically, accelerating a protocol processing stack, is considered by the Examiner to be inherent in Williams, Applicant respectfully requests the introduction of extrinsic evidence clearly showing that the omitted material is necessarily present in Williams and that it would be so recognized by persons of ordinary skill. In this regard, see In re Robertson, 49 USPQ2d 1949 (Fed. Cir. 1999). Alternatively, the Applicant respectfully requests withdrawal of this rejection."*

**End of Quote from the October 19, 2006 Response.**

The above clarifies that the "page 25" referred to by the examiner is page 25 of the previous response filed October 19, 2006, not page 25 of the specification of this application. Therefore applicant requests the examiner to withdraw the above rejection.

**Discussion of Further Amendment of Claims 27 and 62 in this Response**

Claims 27 and 62 have been amended to substitute "accelerating" with "offloading or accelerating or sharply reducing overhead of". That is, the hardware processor of applicant's invention offloads or sharply reduces overhead of or accelerates the protocol processing stack from a host processor as indicated in lines 20-25 of page 2 of the specification in the section "Summary of the Invention", lines 24-28 of page 61 through lines 1-2 of page 62, lines 10-11 of page 8, lines 16-20 of page 13, lines 10-15 of page 14, lines 20-22 and lines 30-32 of page 16 through lines 1-5 of page 17, lines 17-23 of page 20, lines 18-20 of page 17, as well as lines 18-32 of page 18. The claims have been further amended by adding the term "**distributed network**" to signify the distributed network security system described in this patent titled "**A distributed network security system and a hardware processor thereof**" which may be appreciated to be clear and concise by any person skilled in the art and may be appreciated by the examiner.

Claims 27 and 62 have been further amended from "said network comprising one or more networked systems" to "said **network comprising distributed security systems and one or more networked systems, said distributed security systems each comprising at least one host processor**". That is, the network that is being secured by applicant's invention of distributed network security system comprises of distributed security systems along with other

networked systems instead of a single security system securing an internal network from attacks from an external network as described on page 2 lines 8-18, page 4 line 31 through page 6 line 22, page 61 line 13 through page 63 line 23 and page 12 lines 6-29 in clear, concise and exact terms as may be appreciated by a person with ordinary skill in the art.

Accordingly, applicant respectfully requests withdrawal of this rejection.

### **Second Rejection of Office Action**

Claims 1-50, and 52-62 have been rejected as anticipated by U.S. Patent No. US 7,047,561 B1 ("Lee"). That rejection is respectfully traversed for the failure of Lee to include all elements and limitations of the rejected claims.

Axiomatically, rejection of a claim for anticipation by a reference requires that the reference contain, explicitly or inherently, all of the steps (or elements) and limitations of the rejected claim.

### **Independent Claims 9, 34, 40, 45, 55, 58:**

Regarding the above independent claims, each claim requires as part of a combination that the security system provide **remote direct memory access capability** stated as: "a plurality of said one or more networked systems comprising a hardware processor providing **remote direct memory access capability**" or stated as: "a plurality of said one or more networked systems comprising a **remote direct memory access capability** for performing RDMA data transfers".

Nowhere in Lee is a "**remote direct memory access capability**" described or suggested.

The Examiner has stated that Lee "further discloses DMA controller 208 located in firewall 200 (see fig.4; remote direct memory access for data transfer; col. 8, lines 4-10);". The applicant agrees with the Examiner that Lee lists DMA controller, 208 located in firewall 200. Even though Lee does not describe DMA controller, it is a well understood component of modern computers for over twenty years that allows components inside one computer like a hard disk drive or a graphics card or a network card or the like to read/write data from/to system

memory or other memory within the same computer system without involving the host processor of that system, like processor 204 of Lee. Applicant's invention also illustrates DMA engine (controller) and DMA operation in several places in the specification like on page 29 lines 12-15, page 35 lines 4-8, page 41 lines 25-30 and page 48 line 30 through page 49 line 3. However, applicant does not claim DMA controller or DMA operation in these claims. Applicant's claims are towards **Remote Direct Memory Access**. Remote direct memory access capability of the applicant's invention is described in the application on page 20 line 6 through page 21 line 5 in clear, concise and exact terms as may be appreciated by any person with ordinary skill in the art. Applicant's **Remote Direct Memory Access capability** described on page 20 line 6 through page 21 line 5, allows an application running on an initiator [one system] or target [second system] system to register a region of memory and make it available to its peer(s) system [i.e target or initiator system respectively] for access directly using the NIC/HBA (Network Interface Card or Host Bus Adapter) without substantial intervention from the host processors of the systems. The source or the initiator system, would inform its peer system of its desire to read or write specific RDMA enabled buffers and then let the destination or the peer system push or pull the data to/from its RDMA buffers. The initiator and the target NIC/HBA would then transport the data using the TCP/IP hardware described in applicant's invention between each other using internet protocol (IP) without substantial intervention of the host processors of the initiator and target systems, thereby significantly reducing the processor overhead. Applicant's RDMA allows the systems to be secure and prevent unauthorized access as described on page 20 lines 25 through lines 33. Hence it would be clear to one with ordinary skill in the art that while **DMA controller** is used to read or write from one memory to another memory **within one computer system**, an **RDMA mechanism** is used to **transfer data between two separate systems** which may be located across the world from each other over a network without substantial involvement of the host processors of either of the systems involved in RDMA data transfer. Hence, applicant asserts that col. 8, line 4-10, as pointed out by the Examiner does not describe or even suggest **Remote Direct Memory Access ("RDMA")**.

Accordingly, Lee does not explicitly teach, disclose, or illustrate the "**Remote Direct Memory Access Capability**" element of the applicant's claims. Instead, Lee lists only a DMA controller which has been a standard component in modern computers for a long time and is a very different matter altogether from applicant's **Remote Direct Memory Access** capability. Further, if this **Remote** Direct Memory Access Capability element is considered by the Examiner

to be inherent in Lee, applicant respectfully requests the introduction of extrinsic evidence clearly showing that the omitted material is necessarily present in Lee and that it would be so recognized by persons of ordinary skill. In this regard, see In re Robertson, 49 USPQ2d 1949 (Fed. Cir. 1999). Alternatively, applicant respectfully requests withdrawal of this rejection.

Claims 10-16, 18, 35-38, 41-44, 46-49, 56, 57, 59, and 60, depending from allowable claims 9, 34, 40, 45, 55, 58, are also allowable for the same reasons stated above.

Applicant can also provide detailed description on various other elements of the claims that are also not taught, disclosed or illustrated by Lee if the Examiner requires it.

**Independent Claims 2, 31, 52:**

Regarding the above independent claims, each claim requires as part of a combination that: a) the security system is for a storage area network and b) the security system provide multiple protocol layer security in storage area network stated as: "A security system for a **storage area network**" and "said security system providing **multiple protocol layer security in said storage area network**" respectively.

Nowhere in Lee is a security system for a **storage area network** described or suggested. Further, nowhere in Lee is a security system providing **multiple protocol layer security** in a **storage area network** described or suggested.

The Examiner has stated that Lee "further discloses DMA controller 208 located in firewall 200 (see fig.4; remote direct memory access for data transfer; col. 8, lines 4-10); and RAM 206 (storage area network for one or more network system). See col. 8, lines 4-10". The applicant agrees with the Examiner that Lee lists RAM Memory, 206. RAM memory is a random access memory in a computer system as is well understood by any person with ordinary skill in the art. Applicant's application also describes RAM memory in several places like page 25 line 21 through 26, page 34 line 27-33, page 35 lines 19-21, and page 44 lines 11 through page 45 line 14. However, applicant does not claim RAM in these claims but applicant's claims are towards a Storage Area Network. Applicant's invention clearly and concisely describes a storage area network and a security system for a storage area network on page 62 lines 21-30, and lines 11-23 of page 63 respectively as may be appreciated by any person of ordinary skill in the art. Thus it is clear that a RAM (random access memory) is completely different than a

network of storage devices connected to an array of servers or clients or the like in a Storage Area Network (SAN) as described in applicant's patent application as discussed above. Hence, applicant asserts that col. 8, line 4-10, as pointed out by the Examiner does not describe or even suggest a storage area network leave alone a security system for a storage area network. In fact, Lee does not even recognize the need or benefits of a storage area network and nowhere does Lee teach or suggest a security system for a storage area network.

Accordingly, Lee does not explicitly teach, disclose, or illustrate either a "**A security system for a storage area network**" element of applicants' claims or "a security system providing **multiple protocol layer security in said storage area network**". Instead, Lee lists a RAM memory which is a standard memory component in any modern computer and is a very different matter altogether from applicant's **Security system for a storage area network providing multiple protocol layer security in the storage area network**. Further, if the storage area network security and multiple protocol layer security in storage area network elements are considered by the Examiner to be inherent in Lee, applicant respectfully requests the introduction of extrinsic evidence clearly showing that the omitted material is necessarily present in Lee and that it would be so recognized by persons of ordinary skill. In this regard, see In re Robertson, 49 USPQ2d 1949 (Fed. Cir. 1999). Alternatively, applicant respectfully requests withdrawal of this rejection.

Claims 20-25, 32, 39, 53-54, and 61, depending from allowable claims 2, 31, 52, are also allowable for the same reasons stated above.

Applicant can also provide detailed description on various other elements of the claims that are also not taught, disclosed or illustrated by Lee if the Examiner requires it.

**Independent Claims 1, 26, 27, 28, 50, 62:**

Claims 1, 26, 27, 28, 50 and 62 have been amended and no new matter has been added as described below.

Claims 1, 26, 27, 28, 50 and 62 have been amended to include "a ***distributed network security system*** comprising" in place of "a security system comprising". That is, the security system of applicant's invention is a distributed network security system to signify the distributed network security system described in this patent application titled "A ***distributed network***

**security system** and a hardware processor thereof” which may be appreciated to be clear and concise by any person skilled in the art and may be appreciated by the Examiner. The claims have further been amended from “said network comprising one or more networked systems” to “said **network comprising distributed security systems and one or more networked systems, said distributed security systems each comprising at least one host processor**”. That is, the network that is being secured by applicant’s invention of distributed network security system comprises of distributed security systems along with other networked systems instead of a single security system securing an internal network from attacks from an external network as described on page 2 lines 8-18, page 4 line 31 through page 6 line 22, page 61 line 13 through page 63 line 23 and page 12 lines 6-29 in clear, concise and exact terms as may be appreciated by a person with ordinary skill in the art. Further, the claims have been amended to substitute “said one or more networked systems” with “said **distributed security systems providing multiple protocol layer security**”. That is, the distributed security systems of applicant’s invention provide security at multiple protocol layers of the protocol stack as described on page 6 lines 4-14, page 20 lines 20-29, page 20 lines 10-19 in clear, concise and exact terms as may be appreciated by a person with ordinary skill in the art. Further the claims have been amended to substitute “comprising a hardware processor providing” with “**comprising a hardware processor offloading or accelerating or sharply reducing overhead of**”. That is, the hardware processor of applicant’s invention offloads or sharply reduces overhead of or accelerates the protocol processing stack from a host processor of the distributed security systems as indicated in lines 20-25 of page 2, lines 24-28 of page 61 through lines 1-2 of page 62, lines 10-11 of page 8, lines 16-20 of page 13, lines 10-15 of page 14, lines 20-22 and lines 30-32 of page 16 through lines 1-5 of page 17, lines 17-23 of page 20, lines 18-20 of page 17, as well as lines 18-32 of page 18. The claims have been further amended by adding the term “**distributed network**” to signify the distributed network security system described in this patent application titled “A **distributed network security system** and a hardware processor thereof” which may be appreciated to be clear and concise by any person skilled in the art and may be appreciated by the examiner.

Nowhere in Lee is a “**distributed network security system comprising a network comprising distributed security systems**” described or suggested. Also, nowhere in Lee are “**distributed security systems providing multiple protocol layer security**” described or suggested. Further, nowhere in Lee are “distributed security systems comprising a **hardware**



***processor offloading or accelerating or sharply reducing overhead of transport layer protocol processing*** from at least one host processor of the said distributed security systems” described or suggested.

First, the Examiner has stated “Lee teaches a security network system (see figures 1, with emphasis added) comprising one or more network systems of one or more types (see Fig. 1 with emphasis added)”. Applicant agrees with the Examiner that Lee teaches a firewall for real-time internet application stated in Lee in col. 4 line 16 as “Hybrid firewall 100 is placed between internal IP network 110 and external IP network 120, thereby protecting internal IP network 110 from attack from the outside”. Lee’s firewall system is a perimeter security system described to be inadequate or insufficient in applicant’s patent application because majority of security threats come for within an internal network and a perimeter security system like Lee’s does not protect against such attacks as described in applicant’s application on page 2 lines 8-18, page 4 line 31 through page 5 line 11 and page 61 lines 13-17. However applicant’s distributed network security system protects against external and internal attacks unlike one “firewall” system described in Lee that protects an internal network from attacks from outside. Hence applicant asserts that Lee does not teach a “security system comprising a network” as asserted by the Examiner but instead only shows one firewall system that secures systems in an internal network from attacks from an external network and even if the Examiner considers otherwise, Lee certainly does not teach “a ***distributed network security system***” as required by the applicant’s amended claims discussed above.

Second, the Examiner has also stated that: “The firewall 100 comprises packet filter 106 (hardware processor) that examine packets (emphasis added)”. Applicant respectfully disagrees with the Examiner on this assertion. In fact Lee clearly states in lines 4-8 col. 8 that: “Application proxy 102, control logic 104, packet filter 106 and NAT 108 (as illustrated in Fig. 1) are ***stored*** in hard disk and ***executed*** on workstation motherboard 202 through the use of processor 204, RAM memory 206 and DMA controller 208”. As may be appreciated by any person with ordinary skill in the art that the packet filter 106, along with other elements described above are in fact ***software programs*** that are “***stored in hard disk***” and “***executed on workstation motherboard***” and obviously cannot be hardware processors that get stored inside hard disk and executed on the workstation motherboard. Thus, Lee does not teach or describe a hardware processor providing transport layer protocol processing. However, even if

the examiner considers this otherwise, Lee certainly does not teach or describe “a hardware processor ***offloading or accelerating or sharply reducing overhead of transport layer protocol processing from at least one host processor of said distributed security systems***” as required by applicant’s amended claims discussed above.

Accordingly, Lee does not explicitly teach, disclose, or illustrate “**A distributed network security system comprising a network**” and “said network comprising ***distributed security systems and one or more networked systems of one or more types***” and “***distributed security systems providing multiple protocol layer security***” and “a hardware processor ***offloading or accelerating or sharply reducing overhead of transport layer protocol processing from at least one host processor of said distributed security systems***” and “said ***distributed network security system providing multiple protocol layer security in said network***” elements of applicant’s claims as amended and described above. Further, if these claim elements are considered by the Examiner to be inherent in Lee, applicant respectfully requests the introduction of extrinsic evidence clearly showing that the omitted material is necessarily present in Lee and that it would be so recognized by persons of ordinary skill. In this regard, see In re Robertson, 49 USPQ2d 1949 (Fed. Cir. 1999). Alternatively, applicant respectfully requests withdrawal of this rejection.

Claims 3-8, 17, 19, 29, 30, and 33 depending from allowable claims 1, 26, and 28, are also allowable for the same reasons stated above.

Applicant can also provide detailed description on various other elements of the claims that are also not taught, disclosed or illustrated by Lee if the Examiner requires it.

### **Third Rejection of Office Action**

Claim 51 was rejected under 35 USC §103(a) as being unpatentable over Lee in view of Kametani (U.S. Patent 6,839,346 B1).

Claim 51 has been amended and no new matter has been added as described below.

Claim 51 has been amended to include “a ***distributed network security system*** comprising” in place of “a security system comprising”. That is, the security system of applicant’s invention is a distributed network security system to signify the distributed network

security system described in this patent application titled “A ***distributed network security system*** and a hardware processor thereof” which may be appreciated to be clear and concise by any person skilled in the art and may be appreciated by the Examiner. The claim has further been amended from “said network comprising one or more networked systems” to “said ***network comprising distributed security systems and one or more networked systems*** said distributed security systems each comprising at least one host processor”. That is, the network that is being secured by the applicant’s invention of distributed network security system comprises of distributed security systems along with other networked systems instead of a single security system securing an internal network from attacks from an external network as described on page 2 lines 8-18, page 4 line 31 through page 6 line 22, page 61 line 13 through page 63 line 23 in clear, concise and exact terms as may be appreciated by a person with ordinary skill in the art. Further, the claim has been amended to substitute “said one or more networked systems” with “said ***distributed security systems providing multiple protocol layer security***”. That is, the distributed security systems of applicant’s invention provide security at multiple protocol layers of the protocol stack as described on page 6 lines 4-14, page 20 lines 20-29, page 20 lines 10-19 in clear, concise and exact terms as may be appreciated by a person with ordinary skill in the art. Further the claim has been amended to substitute “comprising a hardware processor providing” with “***comprising a hardware processor offloading or accelerating or sharply reducing overhead of***”. That is, the hardware processor of applicant’s invention offloads or sharply reduces overhead of or accelerates the protocol processing stack from a host processor of the distributed security systems as indicated in lines 20-25 of page 2, lines 24-28 of page 61 through lines 1-2 of page 62, lines 10-11 of page 8, lines 16-20 of page 13, lines 10-15 of page 14, lines 20-22 and lines 30-32 of page 16 through lines 1-5 of page 17, lines 17-23 of page 20, lines 18-20 of page 17, as well as lines 18-32 of page 18. The claim has been further amended by adding the term “***distributed network***” to signify the distributed network security system described in this patent application titled “A ***distributed network security system*** and a hardware processor thereof” which may be appreciated to be clear and concise by any person skilled in the art and may be appreciated by the examiner.

As to claim 51, the Examiner stated that:

“In claim 51, Lee has disclosed most of limitations in claim 1. Lee does not disclose a security processing engine performing

encryption, decryption, authorization or authentication. Kametani discloses, in fig. 2, a security process including a security processing section 19. The security section 19 is instructed to encrypt, decrypt packets (security processing engine performing encryption, decryption; see Col. 8, lines 40-45). Therefore, it would have been obvious to one ordinary skilled in the art to use the encrypt/decrypt method of Kametani into Lee in order to transmit confidential information to receiver".

Nowhere in Lee or Kametani is a "***distributed network security system comprising a network comprising distributed security systems***" described or suggested. Also, nowhere in Lee or Kametani are "***distributed security systems providing multiple protocol layer security***" described or suggested. Further, nowhere in Lee or Kametani are "distributed security systems comprising a ***hardware processor offloading or accelerating or sharply reducing overhead of transport layer protocol processing*** from at least one host processor of said distributed security systems" described or suggested.

Accordingly, to the extent that Kametani discloses encryption/decryption method, neither Lee nor Kametani explicitly teaches, discloses, or illustrates each and every element of claim 51. Further, applicant respectfully asserts that the combination of Lee and Kametani together fails to disclose or suggest all elements of claim 51. Hence, it would not have been obvious to one of ordinary skill in the art at the time the invention was made to have combined these two inventions and arrive at all the features disclosed in claim 51.

Further, if each of the missing element of claim 51 is considered by the Examiner to be inherent in the combination of Lee and Kametani, applicant respectfully requests the introduction of extrinsic evidence clearly showing that the omitted material is necessarily present in the combination of Lee and Kametani and that it would be so recognized by persons of ordinary skill. In this regard, see In re Robertson, 49 USPQ2d 1949 (Fed. Cir. 1999). Alternatively, applicant respectfully requests withdrawal of this rejection.

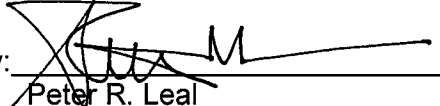
In view of these remarks, it is submitted that the claims of this application are patentably distinct from the references of record. Applicant asserts that all claims are allowable and requests that the above rejections be withdrawn and the claims be passed to issue.

Appl. No.: 10/783,890  
Docket No.: 352612-991180  
Proposed Amendment

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment that may be associated with this communication to Deposit Account No. 07-1896 referencing Attorney Docket No. 352612-991180.

Respectfully submitted,  
DLA PIPER US LLP

Dated: July 11, 2007

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